

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)(2) & 1.27(c)) - SMALL BUSINESS CONCERN

DOCKET NO.
DUMM:011US

Applicant or Patentee: MURDO BLACK ET AL.

Application No.: UNKNOWN

Title: ENZYME ELECTRODES AND METHOD OF MANUFACTURE

I hereby declare that I am:

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF SMALL BUSINESS CONCERN:
ADDRESS OF SMALL BUSINESS CONCERN:

Hypoguard Limited
Dock Lane
Melton
Woodbridge, Suffolk IP12 1PE
United Kingdom

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.1.27(a)(2) and 13 CFR 121.802 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

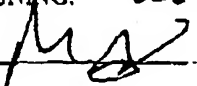
- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, then I also hereby declare that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each such person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below:

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2)).

NAME OF PERSON SIGNING: MALCOLM ANTHONY LUKOFF
TITLE OF PERSON IF OTHER THAN OWNER: MANAGING DIRECTOR, HOP
HYPOGEM
ADDRESS OF PERSON SIGNING: DOCK LANE, NERTON, WOODBRIDGE
SURREY, UK
SIGNATURE:  DATE: 10.7.03
